

## STANDARD ADMINISTRATIVE PROCEDURE

### 32.02.02.M0.02 Discipline and Dismissal Procedures for Nonfaculty Employees

*Approved January 5, 1999*

*Revision September 21, 1999*

*Revision March 1, 2011*

*Revision October 2, 2012*

*Revised December 7, 2018*

*Next scheduled review: December 7, 2023*

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#### Standard Administrative Procedure Statement

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Nonfaculty employees of Texas A&M University are “at-will” employees and may be dismissed from employment with or without cause in accordance with System Policy 32.02 *Discipline and Dismissal of Employees*. Texas A&M University supports the use of progressive discipline as described in System Regulation 32.02.02 *Discipline and Dismissal of Nonfaculty Employees*. This procedure does not apply to student employees as defined in University Rule 33.99.08.M1 *Student Employment*.

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#### Reason for SAP

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This procedure describes the use of progressive discipline in resolving problems through corrective action. The University also recognizes that misconduct, violations of policies and procedures, and continued failure to correct performance problems may require disciplinary action. This procedure defines the delegation of authority to approve corrective and disciplinary action and dismissals for nonfaculty employees and describes procedures unique to Texas A&M University in the administration of the discipline and dismissal policy and regulation.

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#### Definitions

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Personnel Corrective Action: employment action not affecting pay or status, addressing inappropriate job related conduct or performance with employee, and providing guidance on returning to acceptable standards.

Personnel Disciplinary Action: employment action affecting pay or status, addressing more serious incidents of inappropriate job-related conduct or performance, or if corrective action does not achieve desired results.

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## Official Procedure

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### 1. GENERAL

- 1.1. All notifications to the employee regarding corrective or disciplinary actions must be made in writing. An employee should also be informed in the written notification of the appeal procedure as described in Section 4. Copies of all documents pertaining to corrective action, disciplinary action or dismissal will be filed as part of the employee's official personnel records.
- 1.2. Depending on the nature of the performance problem, misconduct, or violation of policies or procedures it may be in the best interest of the University to move immediately into personnel disciplinary action, up to and including dismissal.

### 2. APPROVAL PROCEDURE

- 2.1. Personnel corrective action requires next higher level supervisor approval prior to issuing to the employee. Heads of departments or similar administrative units may require additional higher level review and approval of personnel corrective action prior to issuing to the employee. See Section 2.2 for disciplinary actions.
  - 2.1.1. Texas A&M Human Resources Organizational Consulting & Resolution Management (OCRM) should be consulted before taking personnel corrective action described in 2.1.
- 2.2. Personnel disciplinary action requesting suspension without pay, transfer, demotion, reduction in pay, or dismissal must be sent through normal approval channels prior to issuing to the employee.
  - 2.2.1 Normal approval channels require approval of the department or unit head for non- academic units, or dean or assistant/associate provost for academic units.
  - 2.2.2 OCRM must be consulted before taking personnel disciplinary action described in 2.2.
  - 2.2.3 OCRM will consider the request in view of the justification submitted and any documentation that is available for review.
  - 2.2.4 Following the review and in keeping with System Regulation 32.02.02

*Discipline and Dismissal of Nonfaculty Employees*, OCRM will consult with System Office of General Counsel (OGC) for their review and approval for legal sufficiency. Once OGC approval is received, OCRM will advise the requesting department that they may proceed with the employee's disciplinary action or dismissal.

2.2.5 The expiration of a wage position for reasons other than disciplinary action shall not constitute dismissal for purposes of this procedure.

2.2.6 If the offer letter includes appropriate contingency language regarding dismissal as a result of the end of expiration of contract or grant, or other established term of employment for reasons other than disciplinary action, the action shall not constitute dismissal for purposes of this procedure.

### 3. FOLLOW-UP AND DOCUMENT RETENTION

3.1. The purpose of corrective and disciplinary action is to allow an employee a reasonable opportunity to meet requirements of the job and to correct work related problems. When the supervisor has determined the employee has corrected or resolved the problem, the supervisor may include an addendum to the official written notice to the employee noting the improvement and should reflect the improved performance in the next applicable annual evaluation.

3.2. Personnel corrective action documents, personnel disciplinary action documents and dismissal notices will be retained as part of the employee's official personnel records in accordance with Standard Administrative Procedure 33.99.99.M0.01 *Official Personnel File* and in compliance with System Regulation 61.99.01 *Retention of State Records*.

### 4. APPEAL OF DISCIPLINARY ACTION OR DISMISSAL

4.1. If an employee wishes to appeal any disciplinary action or dismissal from employment, the appeal must be made in accordance with the provisions of System Regulation 32.01.02 *Complaint and Appeals Process for Nonfaculty Employees*. However an appeal alleging discrimination, sexual harassment and/or related retaliation must be filed in accordance with System Regulation 08.01.01 *Civil Rights Compliance* and University Rule 08.01.01.M1 *Civil Rights Compliance*. Contact OCRM for assistance.

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#### Related Statutes, Policies, or Requirements

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System Regulation 08.01.01 *Civil Rights Compliance*  
<http://policies.tamus.edu/08-01-01.pdf>

University Rule 08.01.01.M1 *Civil Rights Compliance*  
<http://rules-saps.tamu.edu/PDFs/08.01.01.M1.pdf>

System Policy 32.02 *Discipline and Dismissal of Employees*  
<http://policies.tamus.edu/32-02.pdf>

System Regulation 32.02.02 *Discipline and Dismissal of Nonfaculty Employees*  
<http://policies.tamus.edu/32-02-02.pdf>

System Regulation 32.01.02 *Complaint and Appeal Process for Nonfaculty Employees*  
<http://policies.tamus.edu/32-01-02.pdf>

University SAP 33.99.99.M0.01 *Official Personnel File*  
<http://rules-saps.tamu.edu/PDFs/33.99.99.M0.01.pdf>

University SAP 33.99.08.M0.01 *Student Employment*  
<http://rules-saps.tamu.edu/PDFs/33.99.08.M0.01.pdf>

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**Contact Office**

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For more information or clarification on this SAP, contact Organizational Consulting & Resolution Management (979) 862-4027, or by email at [ocrm@tamu.edu](mailto:ocrm@tamu.edu).

**OFFICE OF RESPONSIBILITY:** [Human Resources](#)