

# UNIVERSITY RULE

## 15.01.03.M1 **Rules on Conflicts of Interest in the Design, Conduct, and Reporting of National Science Foundation and Public Health Service Sponsored Research**

*Approved April 23, 1996*

*Revised December 13, 1996*

*Next Scheduled Review: Currently Under Review*

*Supplements [System Policy 15.01](#) and [System Regulation 15.01.03](#)*

### 1. GUIDELINES

#### 1.1 Disclosure of Financial Interests

For each NSF/PHS proposal, if a potential conflict of interest does exist, investigators are required to disclose the required information about any entity that could be affected by the sponsored research or educational activities. (See [System Regulation 15.01.03](#)) All investigators applying for research and/or educational grants from the National Science Foundation and Public Health Service must complete a [Significant Financial Interest Certification \(Attachment A\)](#). If the investigator certifies that he/she may have a significant financial interest, then the investigator must also complete the [Significant Financial Interest Form \(Attachment B\)](#) with any supporting documentation.

#### 1.2 Review of Disclosure

1.2.1 Upon notification of an approved award, the Director of Sponsored Projects in the Office of the Vice President for Research and Associate Provost for Graduate Studies shall forward all pertinent information to the Dean of the appropriate College who will conduct an initial review of all financial disclosures.

1.2.2 If the initial determination is that there may be a potential conflict of interest, the investigator will be notified and the Disclosure packet will be forwarded to the University Conflict of Interest Review Committee.

1.2.3 Committee members and chair are appointed by the Vice President for Research and Associate Provost for Graduate Studies.

OFFICE OF RESPONSIBILITY: [Office of Sponsored Projects](#)

Cross-reference:

[System Policy 07.01 Ethics Policy](#)

- Subsection 3.0 “Conflicts of Interest”
- Subsection 9.0 “Employment”